

10/18/01  
J1002 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL

J1002 U.S. PTO  
09/982482  
10/18/01

October 18, 2001

Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

Docket No.: CTX-005

Sir:

Please file the following patent application papers. This inventors claim eligibility for small entity status.

Inventor(s) and Residence(s):      Csaba Truckai; Saratoga, CA  
    John H. Shadduck; Tiburon, CA  
    Bruno Strul, Portola Valley, CA

**TITLE: ELECTROSURGICAL WORKING END FOR CONTROLLED ABLATION**

[  ] Fee Transmittal Form (PTO/SB/17)

[  ] Drawings: No. of sheets 30 31      Formal \_\_\_\_\_ Informal x

[  ] Specification, Claims, and Abstract: No. of pages: 40.

[  ] Independent Inventor's Declaration(s)

[  ] Check for \$ \_\_\_\_\_ for filing fee:

[  ] Return Receipt Postcard Addressed to Applicant.

Respectfully,

Csaba Truckai

Correspondence Address:      Csaba Truckai  
    19566 Arden Court  
    Saratoga, CA 95070

Telephone: (408) 973-8544

Express Mail Receipt # ET 156117705 VS

I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 in an envelope addressed to: "Commissioner of Patents and Trademarks, Washington, D.C. 20231", on October 18, 2001.

10/18/01

Neural M. Murray

Date of Signature

Signature

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 730 <sup>00</sup>)

## Complete if Known

Application Number	10-18-01
Filing Date	10-18-01
First Named Inventor	CSABA TRUCKAI
Examiner Name	
Group Art Unit	
Attorney Docket No.	CTX - 005

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity
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Fee Code (\$)	Fee Code (\$)
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101	740	201	370
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Utility filing fee
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Fee Paid

370

106	330	206	165
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Design filing fee
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107	510	207	255
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Plant filing fee
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108	740	208	370
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Reissue filing fee
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114	160	214	80
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Provisional filing fee
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SUBTOTAL (1) (\$ 370)

## 2. EXTRA CLAIM FEES

Total Claims	46	-20** =	26	x	4	=	104	241	640
Independent Claims	6	- 3** =	3	x	42	=	126	242	640
Multiple Dependent									

Extra Claims	Fee from below	Fee Paid
26	4	104
3	42	126

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)
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103	18	203	9
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Claims in excess of 20
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102	84	202	42
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Independent claims in excess of 3
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104	280	204	140
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Multiple dependent claim, if not paid
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109	84	209	42
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** Reissue independent claims over original patent
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110	18	210	9
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** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2) (\$ 360)

\*or number previously paid, if greater. For Reissues, see above

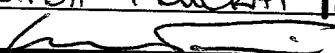
## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205	65
Surcharge - late filing fee or oath			
127	50	227	25
Surcharge - late provisional filing fee or cover sheet			
139	130	139	130
Non-English specification			
147	2,520	147	2,520
For filing a request for ex parte reexamination			
112	920*	112	920*
Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*
Requesting publication of SIR after Examiner action			
115	110	215	55
Extension for reply within first month			
116	400	216	200
Extension for reply within second month			
117	920	217	460
Extension for reply within third month			
118	1,440	218	720
Extension for reply within fourth month			
128	1,960	228	980
Extension for reply within fifth month			
119	320	219	160
Notice of Appeal			
120	320	220	160
Filing a brief in support of an appeal			
121	280	221	140
Request for oral hearing			
138	1,510	138	1,510
Petition to institute a public use proceeding			
140	110	240	55
Petition to revive - unavoidable			
141	1,280	241	640
Petition to revive - unintentional			
142	1,280	242	640
Utility issue fee (or reissue)			
143	460	243	230
Design issue fee			
144	620	244	310
Plant issue fee			
122	130	122	130
Petitions to the Commissioner			
123	50	123	50
Processing fee under 37 CFR 1.17(q)			
126	180	126	180
Submission of Information Disclosure Stmt			
581	40	581	40
Recording each patent assignment per property (times number of properties)			
146	740	246	370
Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370
For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370
Request for Continued Examination (RCE)			
169	900	169	900
Request for expedited examination of a design application			
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	CSABA TRUCKAI	Registration No. (Attorney/Agent)		Telephone	415-215-7233	
Signature					Date	10-18-01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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